



Patent

Attorney Docket No.: MTI-31470

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Zheng, Lingyi A.  
Serial No. : 09/912,041  
Confirmation No. : 4539  
Filing Date : July 24, 2001  
For: : Improved Cell Nitride Nucleation on Insulative Layers and  
Reduced Corner Leakage of Container Capacitors

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

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**37 CFR 1.8(a)**

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**37 CFR 1.10**

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☐ transmitted by facsimile to Fax No.: addressed to Examiner at the Patent and Trademark Office.

Date: June 16, 2004

*John R. Hawk*

Commissioner for Patents  
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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97/98**

In compliance with Applicant's duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent to Form PTO-1449 are those patents and other publications known to the Applicant which may be considered material to the patentability of the claims of the above-captioned application.

The Applicant respectfully requests that the documents listed on the attached equivalent to Form PTO-1449 be considered by the Examiner, that these references be made of record in the present applicant, and that an initialed copy of the attached equivalent to Form PTO-1449 be returned to the undersigned in accordance with MPEP 609.

Respectfully submitted,

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Dated: June 15, 2004

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Alexandria, VA 22313  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	ATTY. DOCKET NO.	Serial No.
	MTI-31470	09/912,041
	Applicant	Confirmation No.
	Lingyi A. Zheng	4539
	Filing Date	Group Art Unit
	July 24, 2001	2812

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

Examiner Initial		Non-Patent Document
	A1	Van Zant, Peter, <i>Microchip Fabrication, A Practical Guide to Semiconductor Processing</i> , 4 <sup>th</sup> ed., McGraw-Hill, New York, pp. 337-357 and 363-366 (2000)
	A2	Wolf and Tauber, <i>Silicon Processing for the VLSI Era, Vol. 1: Process Technology</i> , 2 <sup>nd</sup> ed., Lattice Press, Sunset Beach, Cal., pp. 202-206 and 371-433 (2000)

Examiner Initials	Date Considered
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	